

# For All Organizations

## Organizational Identification

**These questions are included for identification; however, the AAHRPP Online Accreditation Management System (OAMS) is now your home to make changes in organizational name, address, and contacts:**

**<https://www.aahrpp.org/resources/for-accreditation/additional-resource/online-accreditation-management-system>**

\* 1. What is the name of your organization?

\* 2. Please share the location of your organization.

City

Country / Region

## Location of Research Activities, Types of Research, and Regulations Applied

\* 3. Where does human participants research that your organization conducts, reviews, manages and/or sponsors occur (select all that apply)?

- Research activities occur in the state/province/region within the country where the organization is primarily based
- Research activities occur in other states/provinces/regions within the country where the organization is primarily based
- Research activities occur in countries other than the country where the organization is primarily based

\* 4. What kind of research does your organization review, conduct, manage, and/or sponsor? (Select all that apply.)

	Yes	No
Biomedical / clinical	<input type="radio"/>	<input type="radio"/>
Social / behavioral / education	<input type="radio"/>	<input type="radio"/>

\* 5. Does your organization review, conduct, manage, and/or sponsor studies involving any of the following?

	Yes	No
Investigational drugs, biologics, or dietary supplements	<input type="radio"/>	<input type="radio"/>
Investigational devices	<input type="radio"/>	<input type="radio"/>

\* 6. Does your organization review, conduct, manage, and/or sponsor planned emergency research?

Yes  
 No

\* 7. Does your organization review, conduct, manage, and/or sponsor studies involving any of the following vulnerable participant populations?

	Yes	No
Children	<input type="radio"/>	<input type="radio"/>
Pregnant individuals	<input type="radio"/>	<input type="radio"/>
Prisoners	<input type="radio"/>	<input type="radio"/>
Adults unable to provide informed consent	<input type="radio"/>	<input type="radio"/>

\* 8. What type(s) of funding does your organization receive for the review, management, conduct, and/or sponsorship of human participants research?

	Yes	No
Sponsored by the US federal government	<input type="radio"/>	<input type="radio"/>
Industry sponsored	<input type="radio"/>	<input type="radio"/>
Sponsored by other external sources	<input type="radio"/>	<input type="radio"/>
Sponsored by internal sources (including unfunded research)	<input type="radio"/>	<input type="radio"/>

\* 9. Which regulations does your organization reasonably expect could apply to your research portfolio, whether or not you currently have open studies that must comply with those regulations? The information helps AAHRPP identify the regulations under which it will evaluate your organization.

	Yes	No
US Department of Defense (DoD)	<input type="radio"/>	<input type="radio"/>
US Department of Education (ED)	<input type="radio"/>	<input type="radio"/>
US Department of Energy (DOE)	<input type="radio"/>	<input type="radio"/>
US Department of Health and Human Services (DHHS)	<input type="radio"/>	<input type="radio"/>
US Department of Justice (DoJ)	<input type="radio"/>	<input type="radio"/>
US Department of Veterans Affairs (VA)	<input type="radio"/>	<input type="radio"/>
US Environmental Protection Agency (EPA)	<input type="radio"/>	<input type="radio"/>
US Food and Drug Administration (FDA)	<input type="radio"/>	<input type="radio"/>
US National Science Foundation (NSF)	<input type="radio"/>	<input type="radio"/>

\* 10. Does your organization have a US Federalwide Assurance (FWA)?

- Yes
- No

For organizations with a Federalwide Assurance (FWA):

\* 11. Do you apply:

- The same policies and procedures regardless of whether research is covered by US DHHS regulations or the Common Rule
- Different but equivalent policies and procedures for some or all research not covered by regulations

Organizational Information

\* 12. Does your organization reasonably expect to adhere to the International Conference on Harmonisation-Good Clinical Practice Guideline (ICH-GCP)?

- My organization does not adhere to ICH-GCP E6.
- My organization adheres to ICH-GCP E6 only as adopted by the US FDA or country-specific GCP (e.g., Japan GCP) for all applicable clinical trials.
- My organization only adheres to ICH-GCP E6 at a sponsor's request.
- My organization adheres to ICH-GCP E6 at a sponsor's request but otherwise adheres to ICH-GCP E6 only as adopted by the US FDA or country-specific GCP (e.g., Japan GCP) for all applicable clinical trials.
- My organization adheres to ICH-GCP E6 for all applicable clinical trials.

\* 13. Please indicate which version(s) of ICH-GCP E6 your organization may adhere to:

- R2 only
- R3 only
- R2 or R3

\* 14. Is your organization based primarily in the United States?

- Yes
- No

#### Organizations Outside the US

\* 15. What country-specific laws, regulations, and guidance does your organization apply to research involving human participants?

#### Independent IRBs/ECs

\* 16. Is your organization an independent IRB/EC?

NOTE:

An independent IRB/EC is an IRB or ethics committee that is *not* part of an organization that conducts research, and that is *not* owned or operated by the research organization for which it provides review services. These organizations are sometimes referred to as commercial IRBs.

IRBs/ECs embedded within the organization that may conduct research (such as academic organizations, hospitals, or health systems) are **NOT** considered independent IRBs/ECs.

You can check how AAHRPP classifies your organization's "Type" (e.g., hospital, academic institute, independent IRB, etc.) at <https://www.aahrpp.org/find-an-accredited-organization>

Yes  
 No

**For Independent  
IRBs/ECs**

Organizations that are Independent IRBs/ECs

\* 17. How many IRBs or ECs does your organization maintain?

<input type="radio"/> 1	<input type="radio"/> 6
<input type="radio"/> 2	<input type="radio"/> 7
<input type="radio"/> 3	<input type="radio"/> 8
<input type="radio"/> 4	<input type="radio"/> 9
<input type="radio"/> 5	<input type="radio"/> 10
<input type="radio"/> More than 10 (please specify)	

18. Please tell us about the staff for your internal IRBs/ECs:

Total number of **FTEs**

**your organization**

**has dedicated to**

**your IRB(s)/EC(s)** in

the most recent year

(the period from

January 1 through

December 31) or last

fiscal year.

\* 19. Please tell us about your organization's IRB/EC review of studies:

Number of open  
**studies reviewed via  
expedited  
procedures** at initial  
review

Number of open  
**studies reviewed at a  
convened IRB/EC  
meeting** at initial  
review

Number of **exempt  
human participants  
research  
determinations** made  
within the most recent  
year (the period from  
January 1 through  
December 31).

Note: this includes  
exemption  
determinations made  
using the limited IRB  
review procedure  
within the US  
Common Rule.

\* 20. Please tell us about your IRB's/EC's review of reportable events within the most recent year (the period from January 1 through December 31):

Number of  
**determinations of  
serious  
noncompliance  
made by your  
IRB(s)/EC(s)**

Number of  
**determinations of  
continuing  
noncompliance  
made by your  
IRB(s)/EC(s)**

Number of  
**determinations of  
unanticipated  
problems made by  
your IRB(s)/EC(s)**

\* 21. In the most recent year (the period from January 1 through December 31), what was the number of **governmental or regulatory agency** (e.g., US FDA, other US regulatory agencies, or other country regulatory agencies) **inspections of research studies your organization reviews that resulted in a finding or a request for an official compliance action (e.g., issuance of a US FDA Form 483 or FDA Warning Letter)?**

If your organization does not track this information, please indicate this.

\* 22. Please tell us about other compliance activities in the most recent year (the period from January 1 through December 31):

Number of **“for cause” audits your organization conducted of research studies**  
your organization reviews

Number of **“not for cause”/random/routine post-approval audits of research studies** your organization reviewed

Number of **governmental or regulatory agency**  
(e.g., US FDA, other US regulatory agencies, or other country regulatory agencies) **inspections or reviews of IRB(s)/EC(s)**

Number of **“for cause” audits of IRB/EC records/processes**  
conducted internally

Number of **“not for cause”/random audits of IRB/EC records/processes**  
conducted internally

\* 23. Please tell us about your organization's management of financial conflicts of interest related to human participants research in the most recent year (the period from January 1 through December 31):

Number of studies with a financial conflict of interest management plan for an initial review of a study, or a change in research adding a new management plan

**Note:** Count studies for which your IRB(s)/EC(s) have reviewed a COI management plan, regardless of whether the plan was received from the relying organization or issued by your organization's IRB(s)/EC(s).

#### Independent IRB/EC - Convened Board

\* 24. Did your IRB(s)/EC(s) approve any studies at initial review at a CONVENED BOARD meeting in the most recent year (the period from January 1 through December 31)?

Yes  
 No

#### Independent IRB/EC - Convened Board Review Timelines

\* 25. For the most recent year (the period from January 1 through December 31), what is the MEDIAN number of calendar days from:

Initial submission to CONVENED BOARD REVIEW for initial review of human participants research

Initial submission to final approval via CONVENED BOARD REVIEW for initial review of human participants research

#### Independent IRB/EC - Expedited Review

\* 26. Did your IRB(s)/EC(s) approve any studies at initial review outside a convened meeting (in the US called “**expedited review**”) in the most recent year (the period from January 1 through December 31)?

Yes  
 No

#### Independent IRB/EC - Expedited Review Timelines

\* 27. For the most recent year (the period from January 1 through December 31), what is the MEDIAN number of calendar days from initial submission to approval via EXPEDITED REVIEW for initial review of human participants research?

#### Independent IRB/EC - Exempt Human Participants Research

\* 28. Did your IRB(s)/EC(s) determine any studies to be exempt human participants research in the most recent year (the period from January 1 through December 31)?

Yes  
 No

#### Independent IRB/EC - Timelines for Exemption Determinations

\* 29. For the most recent year (the period from January 1 through December 31), what is the MEDIAN number of calendar days from submission to an exemption determination?

#### Independent IRB/EC

30. Please tell us about any electronic (computer) systems your IRB(s)/EC(s) uses. Check all that apply.

*My organization's IRB(s)/EC(s) uses an electronic system:*

<input type="checkbox"/> ... that allows researchers to prepare and/or submit their applications for IRB/EC review.	<input type="checkbox"/> ... to document or record IRB/EC decisions and study-specific determinations within the system.
<input type="checkbox"/> ... that allows IRB/EC members to review IRB/EC applications and supporting materials.	<input type="checkbox"/> Not Applicable. My IRB(s)/EC(s) does not use any electronic (computer) system in support of the IRB/EC submission and review process.
<input type="checkbox"/> ... that allows IRB/EC members and staff to communicate about IRB applications and other related materials.	

\* 31. Does your IRB(s)/EC(s) compensate any IRB/EC members?

- Yes
- No

**For all organizations  
that are NOT  
independent IRBs/ECs**

**Use of External IRBs/ECs**

\* 32. Does your organization use one or more external IRBs/ECs to review some or all of its human participants research?

- Yes
- No

**For all organizations  
that use External  
IRBs/ECs**

**External IRBs/ECs**

**Please tell us about your organization's use of external IRBs/ECs:**

\* 33. What is the number of open studies (excluding exempt human participants research) reviewed by an external IRB(s)/EC(s)

\* 34. Does your organization rely on IRB(s)/EC(s) that are not accredited by AAHRPP for the review of some or all of its human participants research?

- Yes, my organization relies on IRB(s)/EC(s) that are not accredited by AAHRPP for the review of ALL of its human participants research.
- Yes, my organization relies on IRB(s)/EC(s) that are not accredited by AAHRPP for the review of SOME of its human participants research.
- No, my organization does not rely on any IRB(s)/EC(s) not accredited by AAHRPP for the review of its human participants research.

**If answered "SOME"  
in above Q.34**

**Not AAHRPP-Accredited External Review**

\* 35. What is the approximate percentage of human participants research your organization relied on an external IRB(s)/EC(s) that is not AAHRPP-accredited for review during the most recent year (the period from January 1 through December 31)?

- < 1-5
- 51-75
- 6-25
- 76-100
- 26-50

**If answered "ALL" in  
Q.34**

**Non-AAHRPP Accredited External Review - All Human Participants Research**

36. Please provide the name(s) of the non-accredited IRB(s)/EC(s) upon which your organization relies for the review of ALL of its human participants research.

### External Review Process

\* 37. Please select the statement that best describes your organization's ethical review process:

- My organization relies on one or more external IRB(s)/EC(s) to review ALL of its human participants research, which could include determinations of whether research involving human participants is exempt research.
- My organization relies on one or more external IRB(s)/EC(s) to review ALL of its human participants research, but not for determinations of whether research involving human participants is exempt research.
- My organization relies on one or more external IRB(s)/EC(s) to review SOME of its human participants research, which could include determinations of whether research involving human participants is exempt research.
- My organization relies on one or more external IRB(s)/EC(s) to review SOME of its human participants research, but not for determinations of whether research involving human participants is exempt research.

**For all organizations that  
have internal IRBs/ECs  
(but are NOT Independent  
IRBs/ECs)**

### Organizations with Internal IRBs/ECs

\* 38. How many IRBs or ECs does your organization maintain?

- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8
- 9
- 10
- More than 10 (please specify)

\* 39. Please tell us about the staff for your internal IRBs/ECs.

Total number of **FTEs**

**your organization**

**has dedicated your**

**IRB(s)/EC(s)** in the

most recent year (the

period from January 1

through December 31)

or last fiscal year

\* 40. Please tell us about other compliance activities related to **IRB/EC review** in the most recent year (the period from January 1 through December 31).

Number of **"for**

**cause"** audits your

organization

conducted of

IRB(s)/EC(s) at your

organization

Number of **"not for**

**cause"/random**

audits your

organization

conducted of

IRB(s)/EC(s) at your

organization

Number of

**governmental or**

**regulatory agency**

(e.g., US FDA, other

US regulatory

agencies, or other

country regulatory

agencies) **inspections**

**or reviews** of

IRB(s)/EC(s) at your

organization

41. Please tell us about any electronic (computer) systems your IRB(s)/EC(s) uses. Check all that apply.

*My organization's IRB(s)/EC(s) uses an electronic system:*

<input type="checkbox"/> ... that allows researchers to prepare and/or submit their applications for IRB/EC review.	<input type="checkbox"/> ... to document or record IRB/EC decisions and study-specific determinations within the system.
<input type="checkbox"/> ... that allows IRB/EC members to review IRB/EC applications and supporting materials.	<input type="checkbox"/> Not Applicable. My IRB(s)/EC(s) does not use any electronic (computer) system in support of the IRB/EC submission and review process.
<input type="checkbox"/> ... that allows IRB/EC members and staff to communicate about IRB applications and other related materials.	

\* 42. Does your organization serve as the reviewing IRB/EC for external organizations conducting research?

Yes

No

## Reviewing IRB/EC

\* 43. What is the number of open studies (not including exempt human participants research) for which your organization serves as a reviewing IRB/EC for external organizations conducting research?

\* 44. Does your organization provide IRB review for one or more US Department of Veterans Affairs facilities?

Yes  
 No

## Veterans Affairs Academic Affiliate

\* 45. Does your organization serve as the academic affiliate for a Veterans Affairs (VA) facility?

Yes  
 No

## VA Academic Affiliate

\* 46. My organization serves as an academic affiliate for the following VA facility(ies):

## Expedited Review

\* 47. Do the laws, regulations, codes, and guidance under which your organization conducts or reviews research involving human participants allow research that is not exempt to be reviewed by a non-committee process? Under the US Common Rule this non-committee review process is referred to as **expedited review**.

Yes  
 No

## Expedited Review Process

\* 48. What is the number of open studies reviewed by an internal IRB(s)/EC(s) under **expedited procedures** at initial review?

\* 49. Did your IRB(s)/EC(s) approve any studies at initial review under expedited procedures **in the most recent year** (the period from January 1 through December 31)?

Yes  
 No

#### Expedited Review Timeline

\* 50. For the most recent year (the period from January 1 through December 31), what was the MEDIAN number of calendar days from initial submission to approval via EXPEDITED REVIEW for initial review of human participants research?

#### Convened Board Review

\* 51. What is the number of open studies reviewed by an internal IRB(s)/EC(s) at a convened meeting at initial review?

\* 52. Did your organization's IRB(s)/EC(s) approve any studies at initial review at a convened board meeting **in the most recent year** (the period from January 1 through December 31)?

Yes  
 No

#### Convened Board Review

\* 53. Do you calculate the time from initial submission to CONVENED BOARD REVIEW for initial review of human participants research?

Yes  
 No

#### Convened Board Review

\* 54. For the most recent year (the period from January 1 through December 31), what was the MEDIAN number of calendar days from initial submission to CONVENED BOARD REVIEW for initial review of human participants research?

\* 55. Do you calculate the time from initial submission to FINAL APPROVAL via convened board for initial review of human participants research?

Yes

No

#### Convened Board Review

\* 56. For the most recent year (the period from January 1 through December 31), what was the MEDIAN number of calendar days from initial submission to FINAL APPROVAL via convened board review for initial review of human participants research?

**For ALL organizations that are  
NOT Independent IRBs/ECs**

#### Exempt Human Participants Research

\* 57. Do the laws, regulations, codes, and guidance under which your organization conducts or reviews human participants research allow this research to be determined **exempt**?

Yes

No

#### Exempt Human Participants Research Determinations

\* 58. Please select the statement that best describes your organization's policies and procedures for exempt human participants research.

- My organization solely allows exempt human participants research determinations as outlined within US regulations.
- My organization allows exempt human participants research determinations as outlined within US regulations as well as additional categories within institutional policy.
- My organization does not follow the US Common Rule but allows exempt human participants research determinations as outlined within my country's regulations or my organization's policy.

\* 59. What is the number of **exempt human participants research determinations made** within the most recent year (the period from January 1 through December 31) by an **external** review process (e.g., by an external IRB/EC)? Note this includes exemption determinations made using the limited IRB review procedure within the US Common Rule?

\* 60. Does your organization permit the use of limited IRB review as described in the US Common Rule for exempt human participants research?

Yes  
 No

\* 61. Does your organization use an internal process to make exempt human participants research determinations?

Yes  
 No

#### Exemption Determinations by Internal Review Process

62. Were any exemption determinations made within the most recent year (the period from January 1 through December 31) by an **internal review process**? Note this includes exemption determinations made using the limited IRB review procedure within the US Common Rule.

Yes  
 No

#### Exemption Determinations by Internal Review Process in most recent year

\* 63. What is the number of **exempt human participants research determinations** made within the most recent year (the period from January 1 through December 31) **by an internal review process** (e.g., by an internal IRB/EC or other internal HRPP review process)? Note this includes exemption determinations made using the limited IRB review procedure within the US Common Rule.

\* 64. For **exemption determinations made through an internal review process** (which could include review by an IRB/EC) during the most recent year (the period from January 1 through December 31), what is the MEDIAN number of calendar days from the submission to an exemption determination?

#### Review of Reportable Events for Organizations that are not Independent IRBs/ECs

\* 65. Please tell us about your organization's review of the following events within the most recent year (the period from January 1 through December 31):

Number of  
**determinations of**

**serious**

**noncompliance**,

including those made

through your

organization's review

process (which could

be by an internal

IRB/EC) and external

IRB/ECs

Number of  
**determinations of**

**continuing**

**noncompliance**,

including those made

through your

organization's review

process (which could

be by an internal

IRB/EC) and external

IRB/ECs

Number of  
**determinations of**

**unanticipated**

**problems**, including

those made through

your organization's

review process (which

could be by an internal

IRB/EC) and external

IRB/ECs

66. Please tell us about other compliance activities related to research studies.

Does your organization track the **number of governmental or regulatory agency** (e.g., US FDA, other US regulatory agencies, or other country regulatory agencies) **inspections of research studies** your organization conducts, reviews, manages, and/or sponsors, **that resulted in a finding or a request for an official compliance action** (e.g., issuance of a US FDA Form 483 or FDA Warning Letter)?

Yes

No

Compliance Activities Related to Research Studies

\* 67. In the most recent year (the period from January 1 through December 31), what was the **number of governmental or regulatory agency** (e.g., US FDA, other US regulatory agencies, or other country regulatory agencies) **inspections of research studies** your organization conducted, managed, reviewed, and/or sponsored, **that resulted in a finding or a request for an official compliance action** (e.g., issuance of a US FDA Form 483 or FDA Warning Letter)?

\* 68. Please tell us about other compliance activities related to **research studies** in the most recent year (the period from January 1 through December 31):

Number of **“for cause” audits** your organization conducted of research studies that your organization manages, conducts, reviews, and/or sponsors

Number of **“not for cause”/random/routine post-approval audits** your organization conducted of research studies your organization manages, conducts, reviews, and/or sponsors

### Financial Conflicts of Interest

\* 69. Please tell us about your organization's management of financial conflicts of interest related to human participants research in the most recent year (the period from January 1 through December 31):

What is the number of studies with a financial conflict of interest management plan for an initial review of a study or a change in research adding a new management plan.

**Note:** Only count studies for which your organization has issued conflict of interest management plans for your researchers.

**For organizations with internal IRBs/ECs, or  
For Independent IRBs/ECs that compensate  
IRB members**

Compensation of IRB/EC Chairs and Vice Chairs

\* 70. Does your organization provide IRB/EC chairs/vice chairs with financial support?

- Not applicable - my organization does not have an internal IRB/EC and is not an independent IRB/EC
- Yes
- No

#### Type of IRB/EC Chair/Vice Chair Compensation

\* 71. Please indicate any of the following types of financial support your organization provides IRB/EC chairs or vice chairs (if your organization has vice chairs). (Check all that apply)

- Salary support (full or partial)
- Pay for specific activities (e.g., conducting IRB meetings, reviews)
- Stipend/honorarium
- Other, please describe
- Support for attendance at HRPP/IRB-related conferences or continuing education activities, such as travel or registration fees
- Reimbursement of the IRB/EC chair/vice chair's home department/clinic for time

#### Compensation for Affiliated IRB/EC Members Who are not Chairs or Vice Chairs

\* 72. Please indicate any of the following types of financial support your organization provides for **affiliated IRB/EC Members**. (Check all that apply)

- Salary support (full or partial)
- Pay for specific activities (e.g., attending IRB meetings, reviews)
- Stipend/honorarium
- Other, please describe
- Support for attendance at HRPP/IRB-related conferences or continuing education activities, such as travel or registration fees
- Reimbursement of the IRB/EC member's home department/clinic for time

- My organization does not provide financial support for affiliated IRB/EC members.

#### Compensation for Unaffiliated IRB/EC Members Who are not Chairs or Vice Chairs

\* 73. Please indicate any of the following types of financial support your organization provides for **unaffiliated IRB/EC members**. (Check all that apply)

- Salary support (full or partial)
- Support for attendance at HRPP/IRB-related conferences or continuing education activities, such as travel or registration fees
- Pay for specific activities (e.g., attending IRB meetings, reviews)
- Stipend/honorarium
- Other, please describe
- My organization does not provide financial support for unaffiliated IRB/EC members.

**For All  
Organizations**

Required Reporting Form

**Indicate if any of the following changes have occurred in your organization in the last 12 months by checking the box.**

\* 74. Organizational Changes

- Change in corporate structure relevant to the HRPP
- Change in ownership or control of the organization, including mergers or acquisitions
- Change in organization type ([AAHRPP definitions of organization types](#); [How AAHRPP classifies your organization](#))
- No organizational changes

Required Reporting Form

**Organizational Changes**

\* 75. Please indicate if you have reported the organizational changes to AAHRPP, and provide a brief summary of your report(s). For any of the above organizational changes that you have **not** reported, describe the changes and expected or potential effects on your HRPP.

If the change includes and merger(s) and/or acquisitions, describe the plan and timeframe for consolidating different HRPPs into a single integrated HRPP, including:

- the effective date of the change in ownership;
- the accreditation status of all organizations, including the Council date for review of reaccreditation (see Accreditation Status letters or contact AAHRPP);
- implementation of a single set of policies and procedures, and whether these policies were previously approved as part of the accreditation;
- implementation of a single IRB/EC application management system;
- change in the number or type of IRBs/ECs;
- decisions to start relying on external IRBs/ECs, or review for external organizations;
- conducting or reviewing new types of research not previously reviewed by AAHRPP;
- changes in key HRPP leadership (e.g., person responsible for daily IRB/EC or other key HRPP functions);
- other information you believe will help AAHRPP understand plans to merge the HRPPs and create a single integrated HRPP (see Standard I-1).

Note: If there is a change in the organization's name or information about the organizational official, please log into the AAHRPP Online Accreditation Management System (OAMS) to update that information. The OAMS login and instructions are at

<https://www.aahrpp.org/resources/for-accreditation/additional-resource/online-accreditation-management-system>.



76. Did AAHRPP request any updates or additional information as a result of reports that you sent to AAHRPP within the most recent 12 months? If there is any additional information that is still needed, please provide it below, or indicate when it may be provided.



## Required Reporting Form

### Resource Changes

\* 77. Has your organization experienced a change in resources in the most recent 12 months, including but not limited to:

- Significant change (10% or more) in the balance of resources and active research studies
- Significant reduction (10% or more) in resources, such as reduction in full-time equivalent (FTE) or dissolution of an IRB/EC, committee, or other HRPP-related function
- Other change in resources
- No significant change in resources

## Required Reporting Form

### **Resources Changes Description**

\* 78. Please describe the change(s) in resources in the past 12 months and the impact on your organization's HRPP.

## Required Reporting Form

### **Program Scope Changes**

\* 79. Indicate if any of the following Program Scope Changes pertaining to your HRPP have occurred in the last year by checking the box.

- Addition of new research programs, including but not limited to a type of research not previously conducted or reviewed by the organization (such as planned emergency research, research involving children, or gene transfer research).
- Other program scope changes
- Addition, removal, or modification of functions, committees, or IRBs/ECs.
- No program scope changes.
- Changes in organizations that are entities of your HRPP.

## Required Reporting Form

### **Program Scope Changes**

\* 80. Please provide a description and more information for any program scope changes checked above.

## Required Reporting Form

### **Changes in method of providing services**

\* 81. Indicate if any of the following changes in the method of providing services to your organization have occurred in the last year by checking the box.

- Change in whether your organization uses external IRBs/ECs
- Contracting for services from another organization
- Other changes in method of providing services
- No changes

## Required Reporting Form

### **Changes in method of providing services**

\* 82. Please provide a description and more information for any changes checked above.

## Required Reporting Form

### **Major Events**

\* 83. Indicate if any of the following MAJOR EVENTS pertaining to your HRPP have occurred in your organization in the last year by checking the box. NOTE: Major Events should be reported to AAHRPP within 48 hours after the organization becomes aware of them.

- Catastrophic event that results in an interruption or discontinuance in a part of or the entire HRPP.
- Any actions by a government oversight office, including but not limited to OHRP Determination Letters, FDA Warning Letters, FDA 483 Inspection Reports with official action indicated, FDA Restrictions placed on IRBs or researchers, and corresponding compliance actions taken under non-US authorities related to human research protections.
- Any litigation, arbitration, or settlements initiated related to human research protections.
- Any press coverage (including but not limited to radio, TV, newspaper, online publications) of a negative nature regarding the organization's HRPP.
- No major reportable events.

## Required Reporting Form

### **Major Events**

\* 84. Did you already report all of the events checked above to AAHRPP?

Yes  
 No

## Required Reporting Form

### Major Events

\* 85. Please provide a brief description of the event(s) that you previously reported and any update.



## Required Reporting Form

### Major Events Description

\* 86. Please provide a summary of the major events that you have not previously reported, and immediate corrective actions and timeline, when appropriate.

This could include:

- Changes to policies and procedures, or processes taken or planned, if applicable:
- Education and training completed or planned:
- Confirmation of change in practice (monitoring) completed or planned:

Please send any supplemental materials, including letters from government agencies and press coverage, to [reporting@aahrpp.org](mailto:reporting@aahrpp.org).



## Attestation

**I hereby certify that all of the answers provided on my Annual Report have been reviewed by both the application contact and the organizational official and are correct.**

\* 87. Person completing this Annual Report

Prefix (Professor,  
Doctor, Mr., Ms., etc.)

First Name

Last Name

Degrees and  
credentials

Title

Email Address

Attestation - Application Contact, Organizational Official and Other Organizational Information

**The AAHRPP Online Accreditation Management System (OAMS) is now your home for updating organizational information including name, address, Application Contact, Organizational Official, and other contact information:**

**<https://www.aahrpp.org/resources/for-accreditation/additional-resource/online-accreditation-management-system>**

\* 88. Please Confirm:

I have reviewed and updated the contact information for the Application Contact, Organizational Official, and other contacts in the Online Accreditation Management System (OAMS), as needed, to ensure it is accurate.

Miscellaneous Comments

89. Please use this space for additional comments, clarifications, or feedback.

Congratulations on completing your 2026 Annual Report!

**When you are ready to submit your final responses, please click "DONE" below. Once you complete the survey, you will not be able to change your responses.**

**Please contact [reporting@aahrpp.org](mailto:reporting@aahrpp.org) if you have any questions.**