



Association for the Accreditation  
of Human Research Protection Programs, Inc.®

# 2023 Metrics for AAHRPP-Accredited Human Research Protection Programs

**May 2024**



# About the Metrics

AAHRPP is pleased to present the 2023 metrics for Human Research Protection Program (HRPP) performance. You will see some changes to the metrics that were based on feedback from a working group with representatives from accredited organizations. More changes to come next year. AAHRPP provides these data to help research organizations, researchers, sponsors, government agencies, and participants identify and support high-performing practices for HRPPs.

These metrics are collected from Annual Reports, as well as Step 1 and Step 2 applications, submitted by accredited organizations.

All the quantitative data were derived from the most recent reports submitted by AAHRPP organizations.

# Abbreviations Used

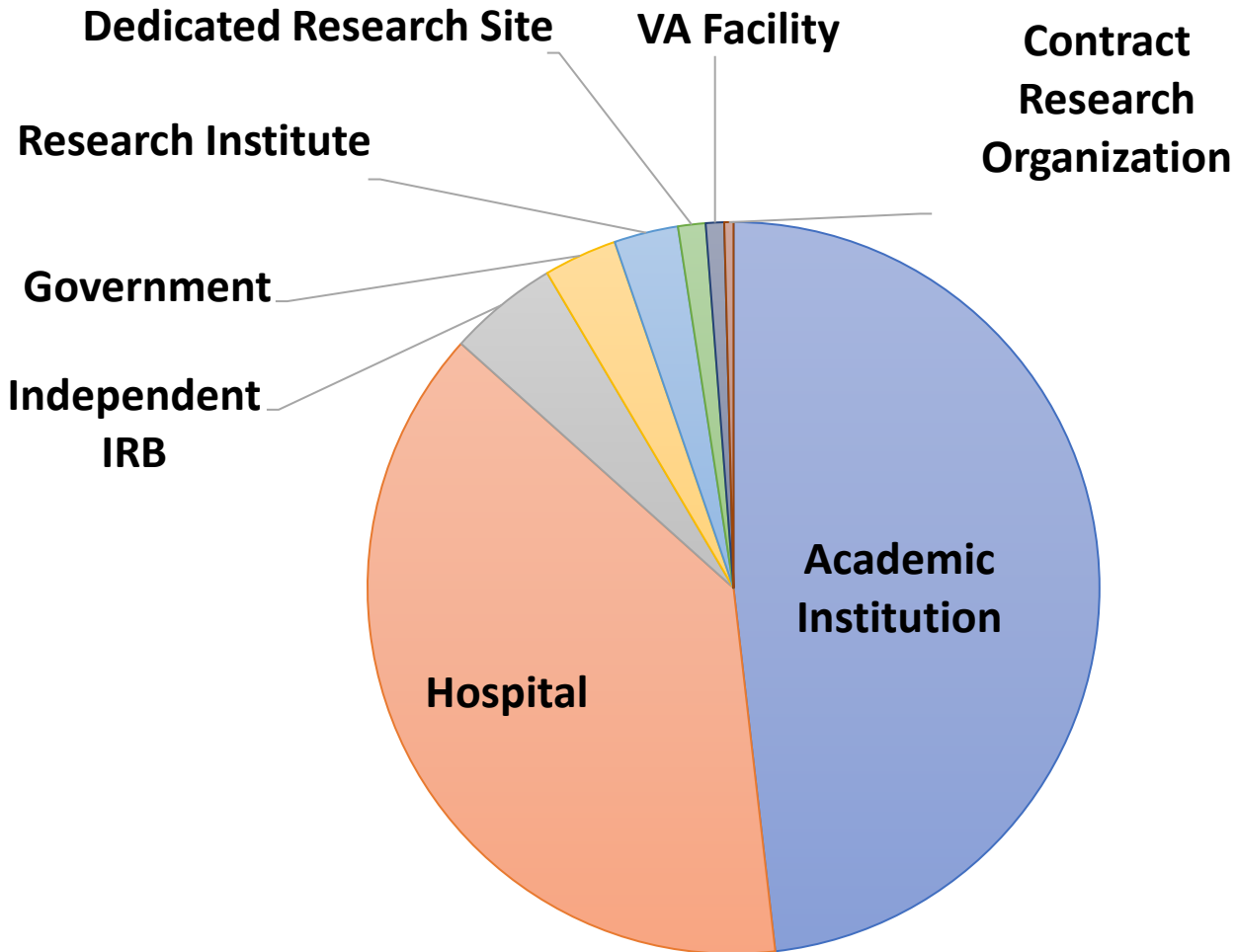
|             |  |
|-------------|--|
| <b>DHHS</b> | US Department of Health & Human Services |
| <b>DoD</b>  | US Department of Defense                 |
| <b>ED</b>   | US Department of Education               |
| <b>DoE</b>  | US Department of Energy                  |
| <b>DoJ</b>  | US Department of Justice                 |
| <b>EPA</b>  | US Environmental Protection Agency       |
| <b>FDA</b>  | US Food and Drug Administration          |
| <b>VA</b>   | US Department of Veterans Affairs        |



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# Accredited Organizations By Type

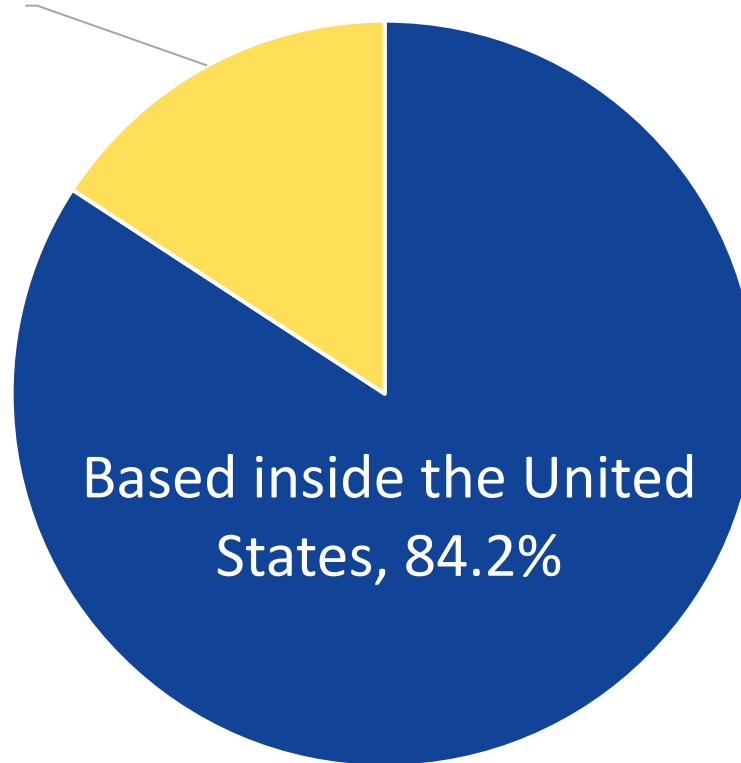


Of the 247 accredited organizations:

- 48.2% are academic institutions
- 38.5% are hospitals
- 4.9% are independent IRBs
- 3.2% are governmental organizations
- 2.8% are research institutes
- 1.2% are dedicated research sites
- 0.8% are VA facilities
- 0.4% are contract research organizations

# Where Accredited Organizations are Based

Based outside the United  
States, 15.8%



Based inside the United  
States, 84.2%



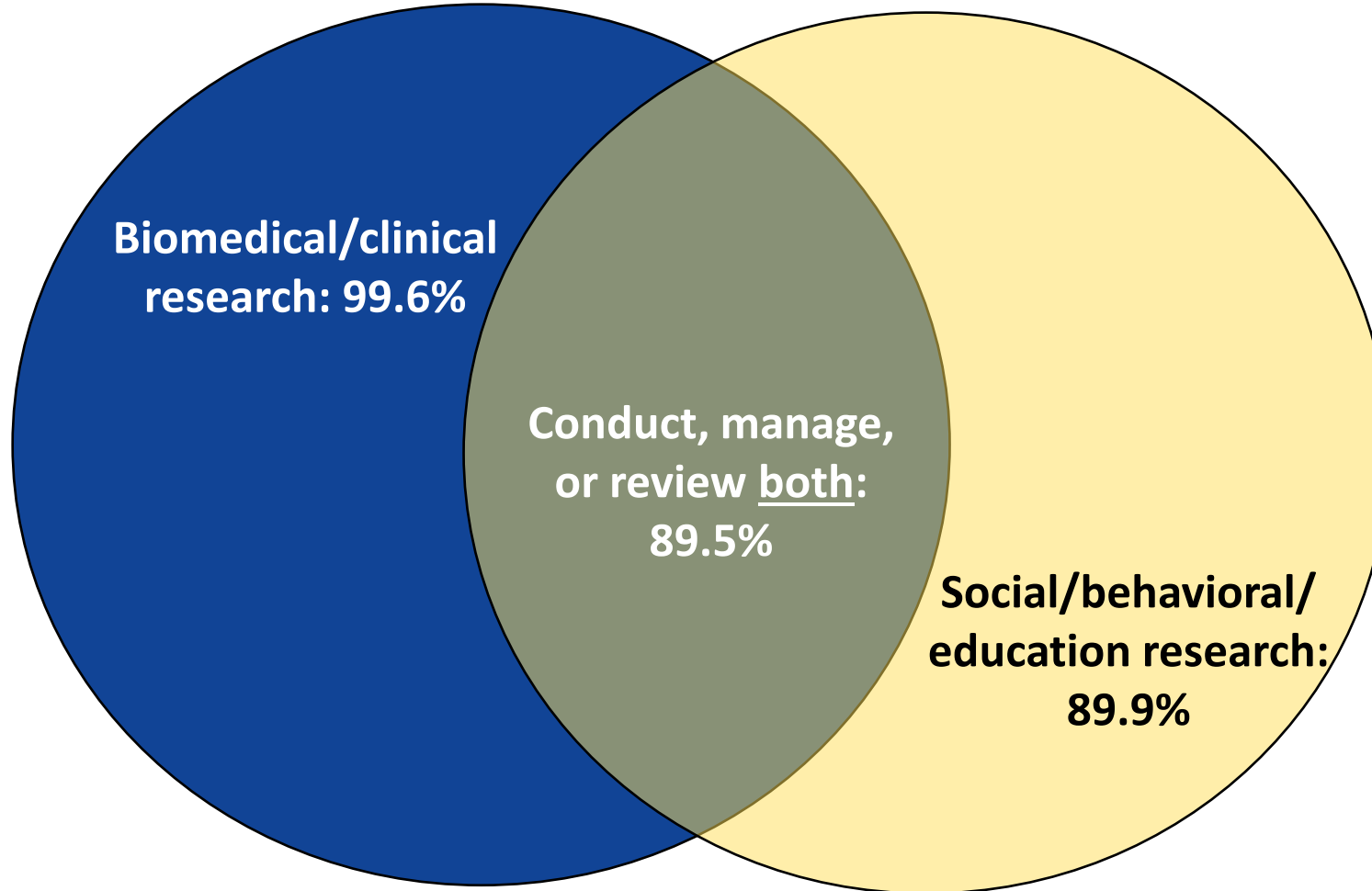
# Where Research Occurs



This chart shows where organizations conduct, review, or manage research.

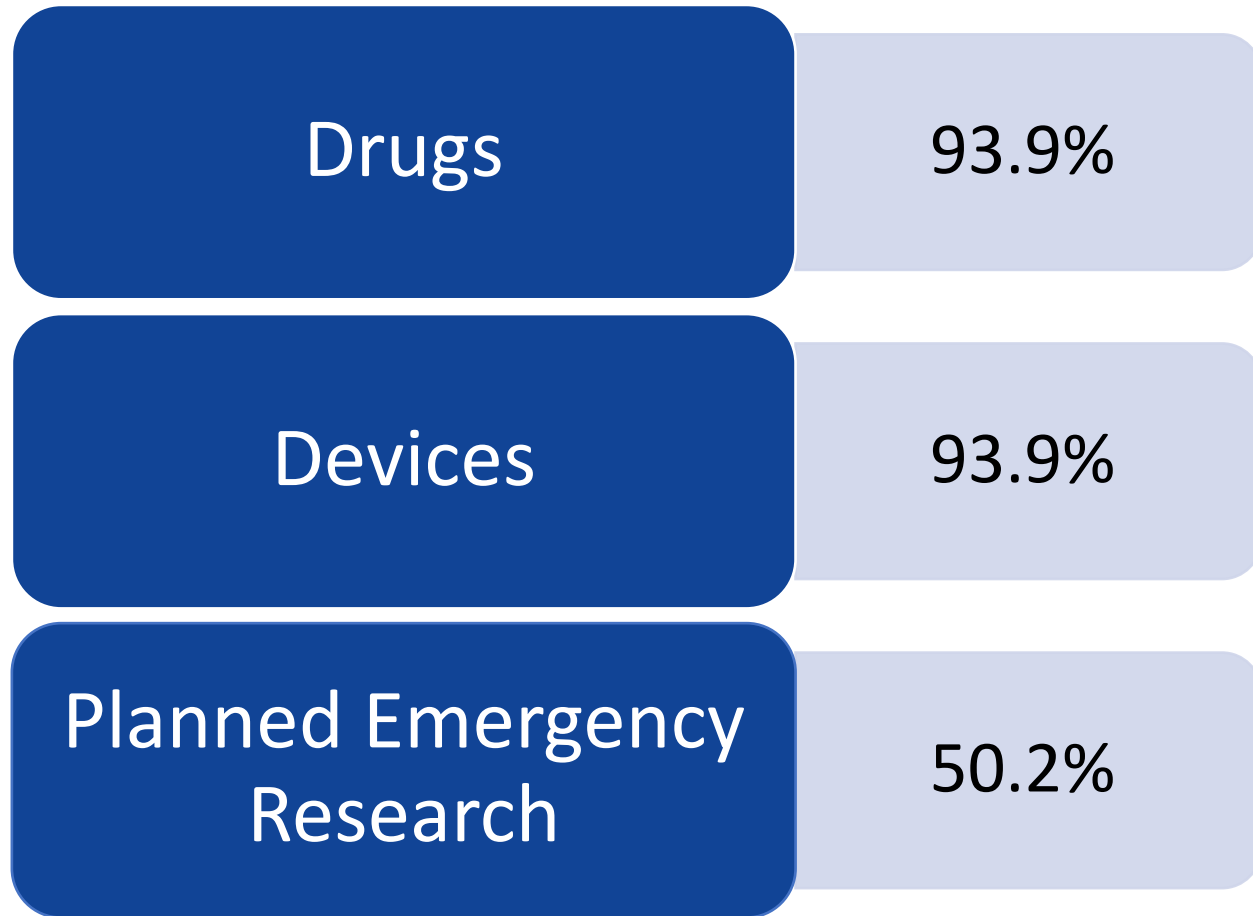
The majority of organizations conduct, review, or manage transnational research.

## Type of Research Organizations Conduct, Manage, or Review



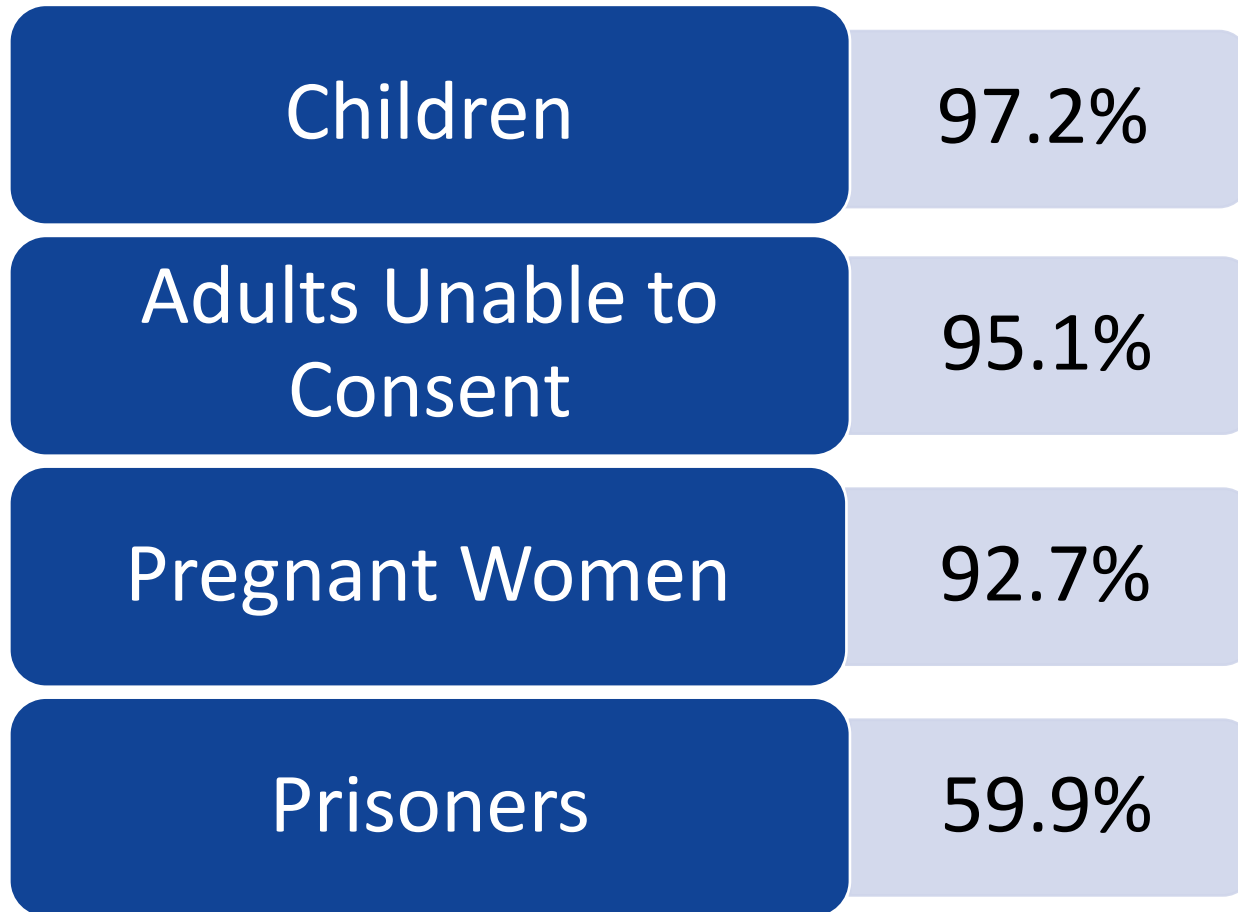


# Biomedical/Clinical Research by Type



This table shows the type of biomedical/clinical research organizations conduct, review, or manage.

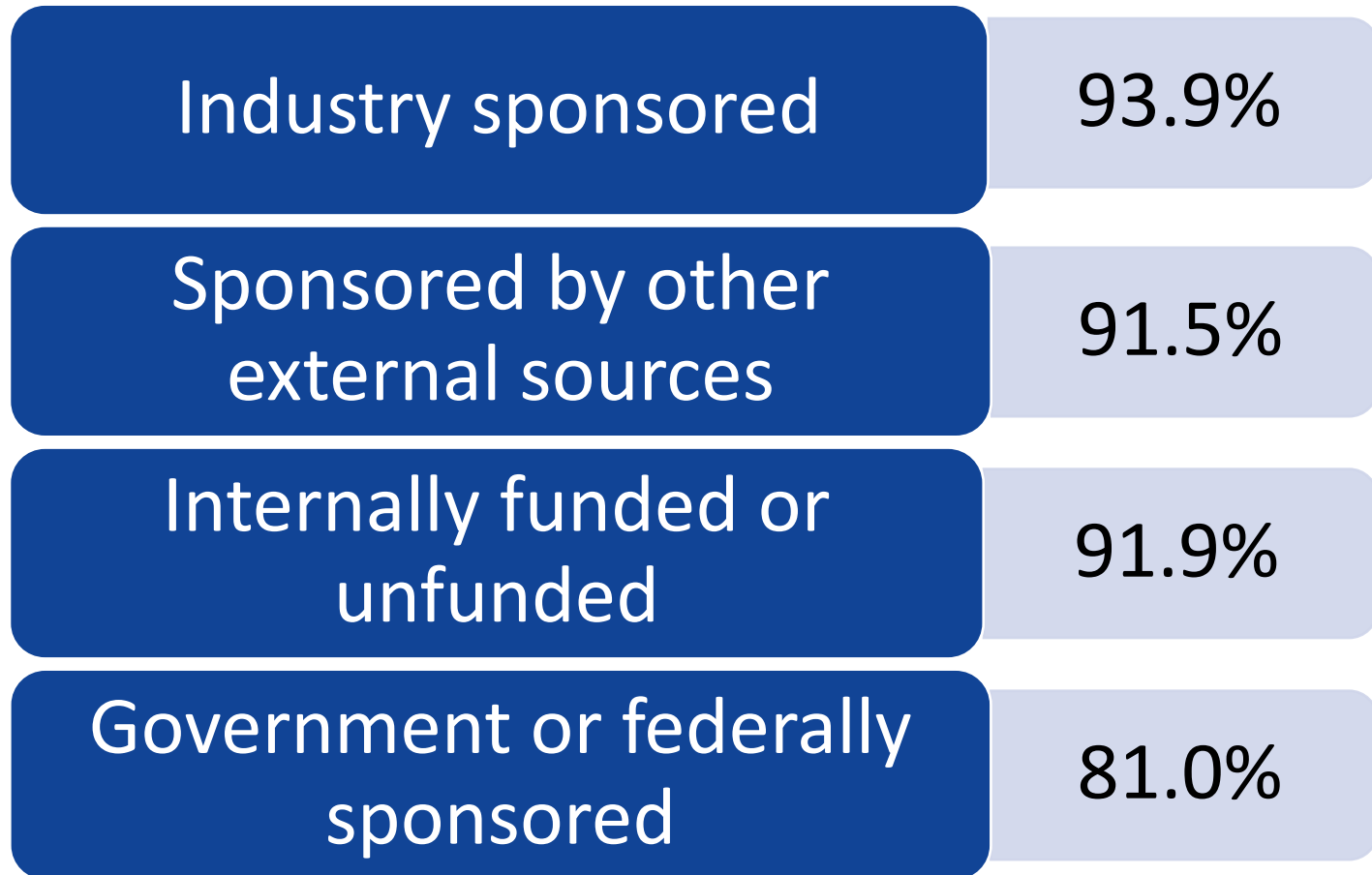
# Vulnerable Populations



This table shows the categories of vulnerable populations that participate in research conducted, reviewed, or managed by organizations.

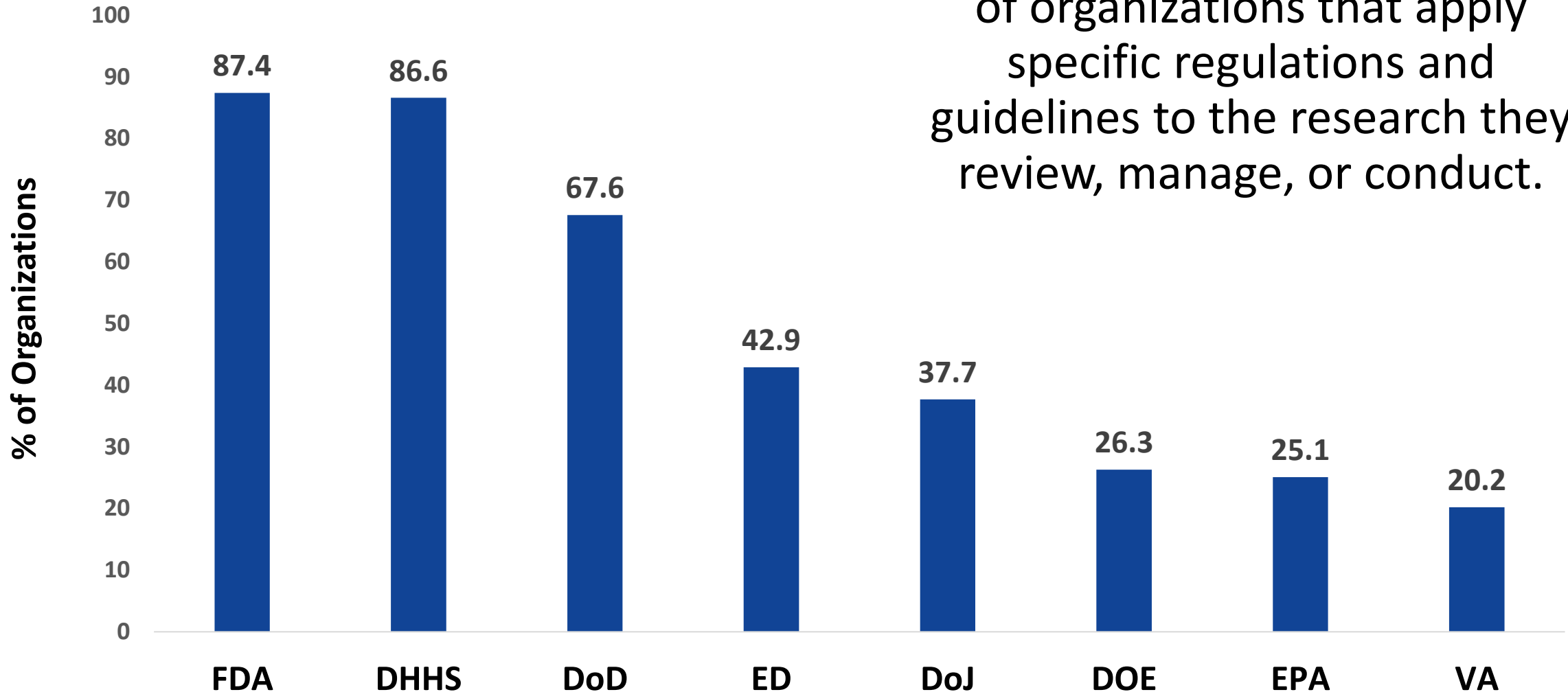
Only 1 organization indicated they do not conduct, review, or manage research involving any vulnerable populations.

# Funding Type



This table shows the percentage of organizations that conduct, review, or manage research by funding type that supports the research.

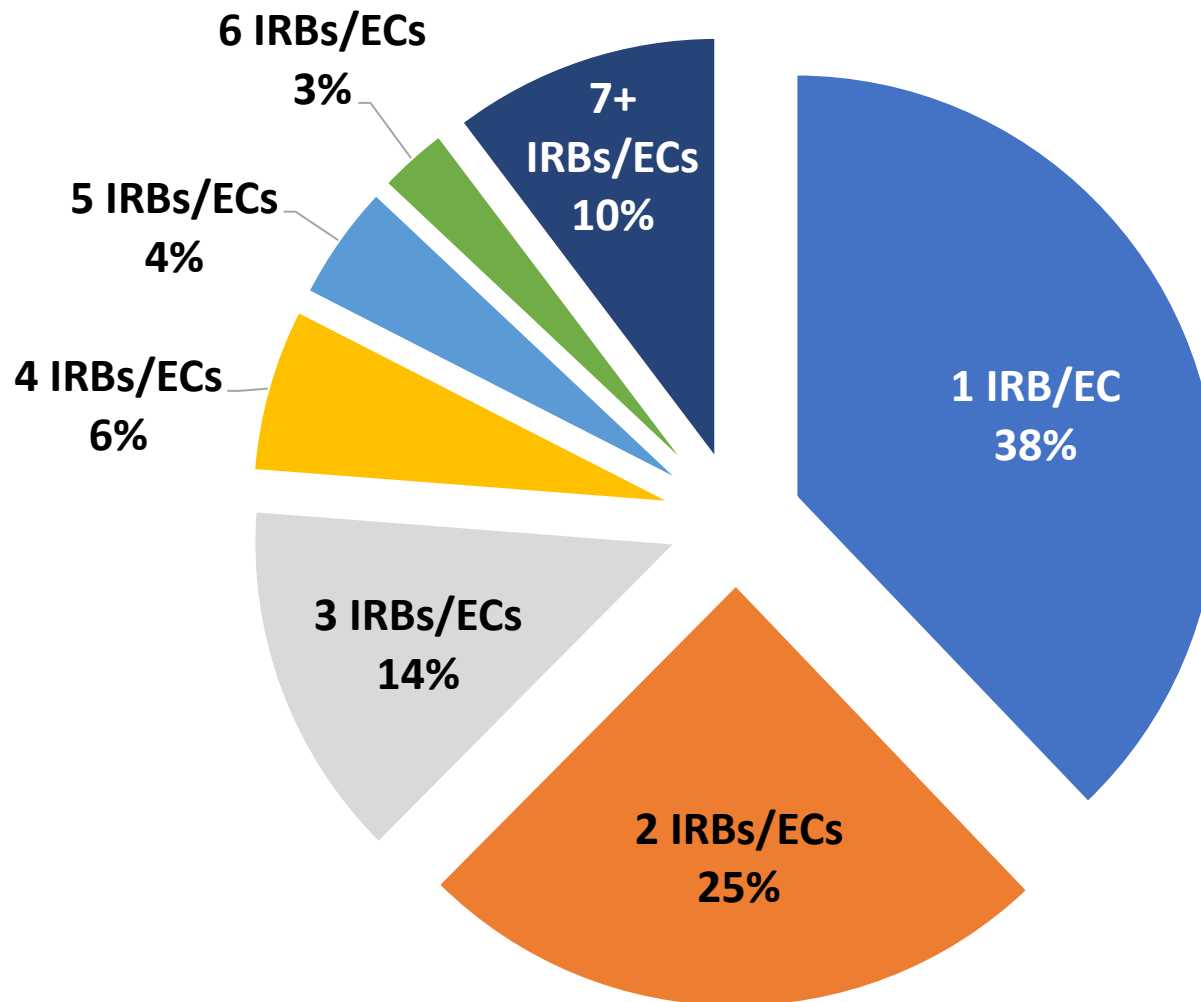
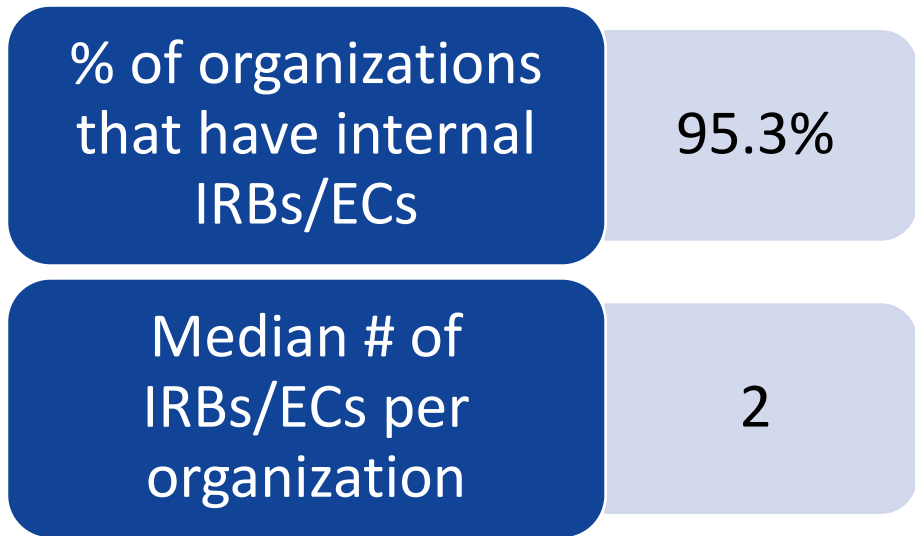
# Regulations & Guidelines



This chart shows the percentage of organizations that apply specific regulations and guidelines to the research they review, manage, or conduct.

# Internal IRBs/ECs\*

## Number of internal IRBs/ECs organizations support



\*Data exclude independent IRBs/ECs

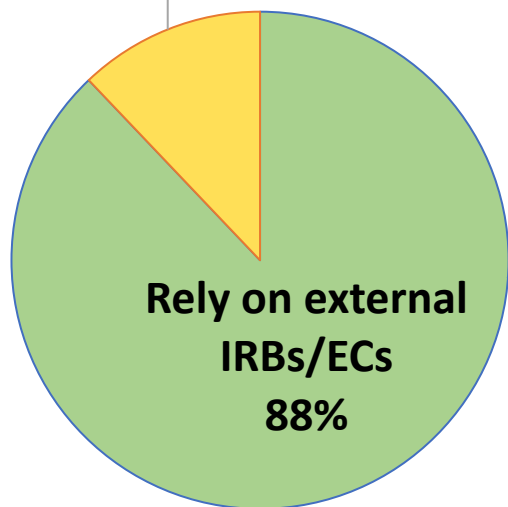
# Relying on External IRBs/ECs\*

## Use of external IRBs/ECs by organizations with internal IRBs/ECs

### % of organizations with internal IRBs/ECs that also use external IRBs/ECs

Do not rely on an external IRB/EC

12%



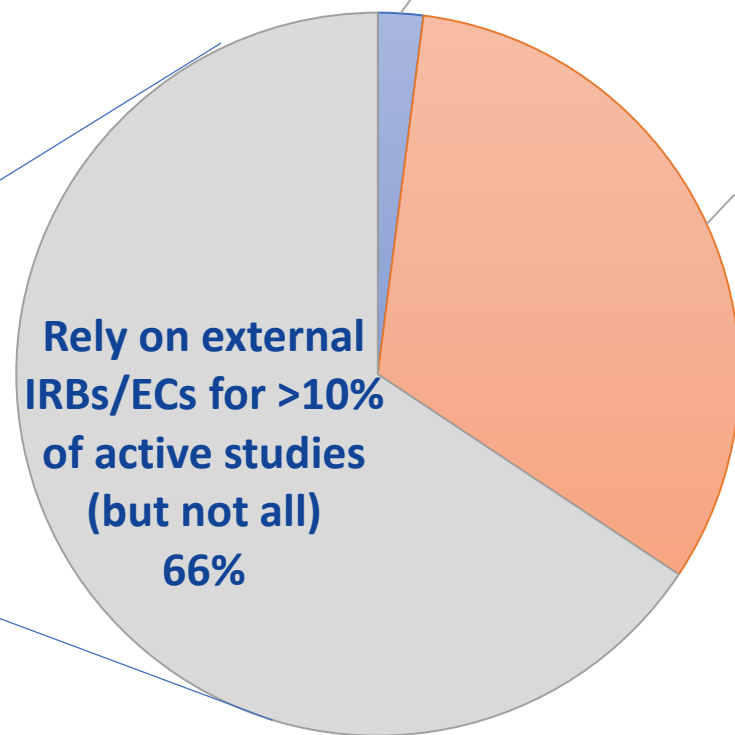
Rely on external  
IRBs/ECs  
88%

Rely on external IRBs  
for all studies

2%

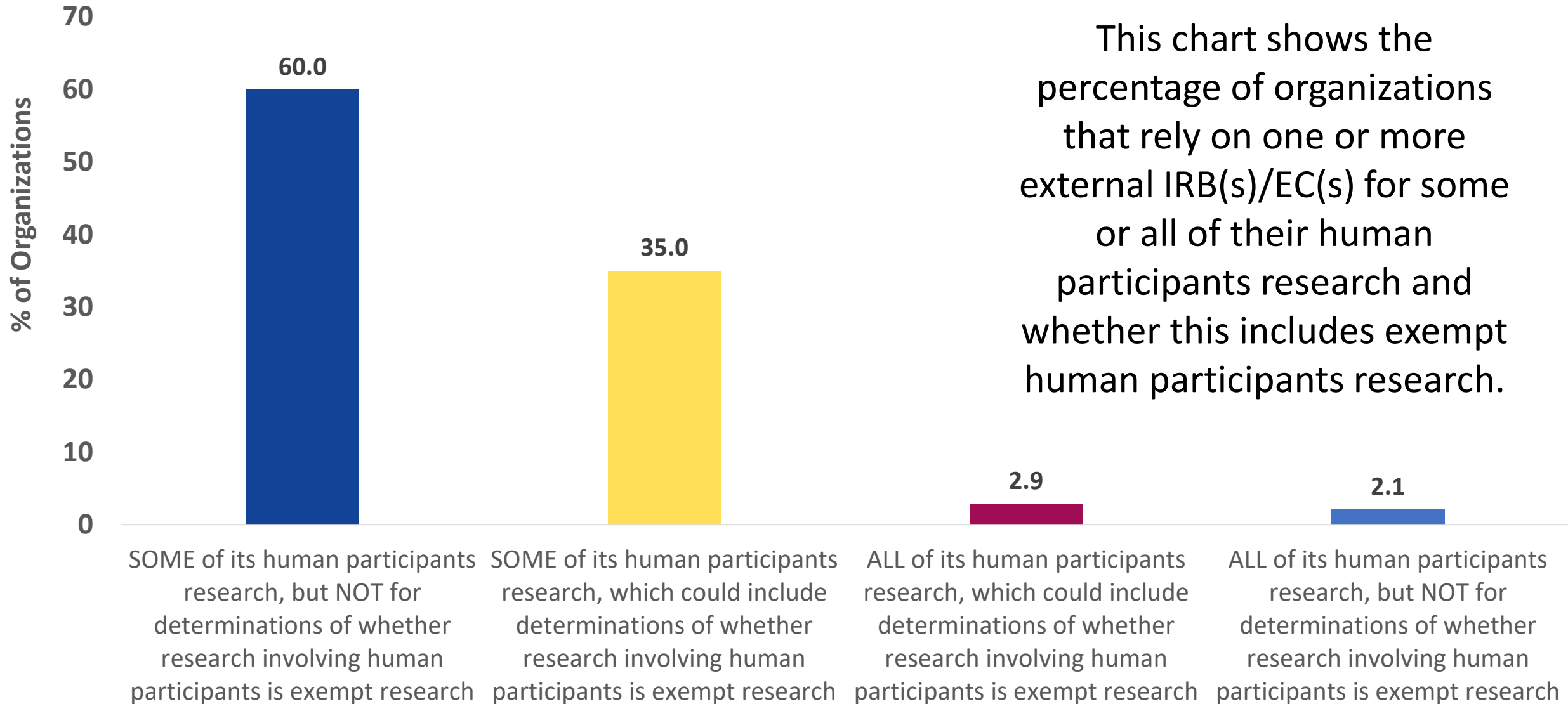
Rely on external  
IRBs/ECs for >10%  
of active studies  
(but not all)  
66%

Rely on external  
IRBs/ECs for ≤10% of  
active studies  
32%



\*Data exclude independent IRBs/ECs

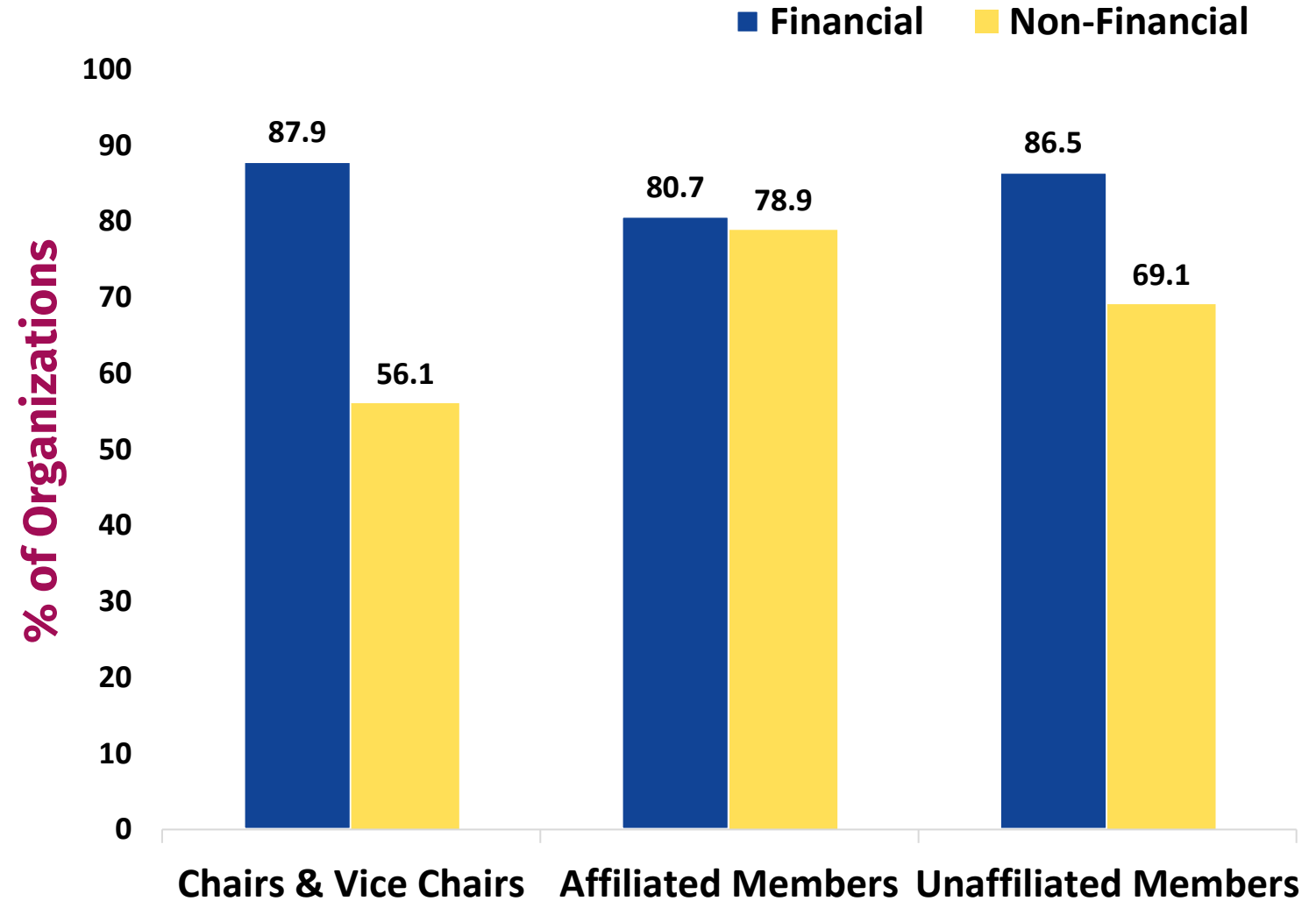
# Research Reviewed by External IRBs/ECs



# Compensating IRB/EC Members

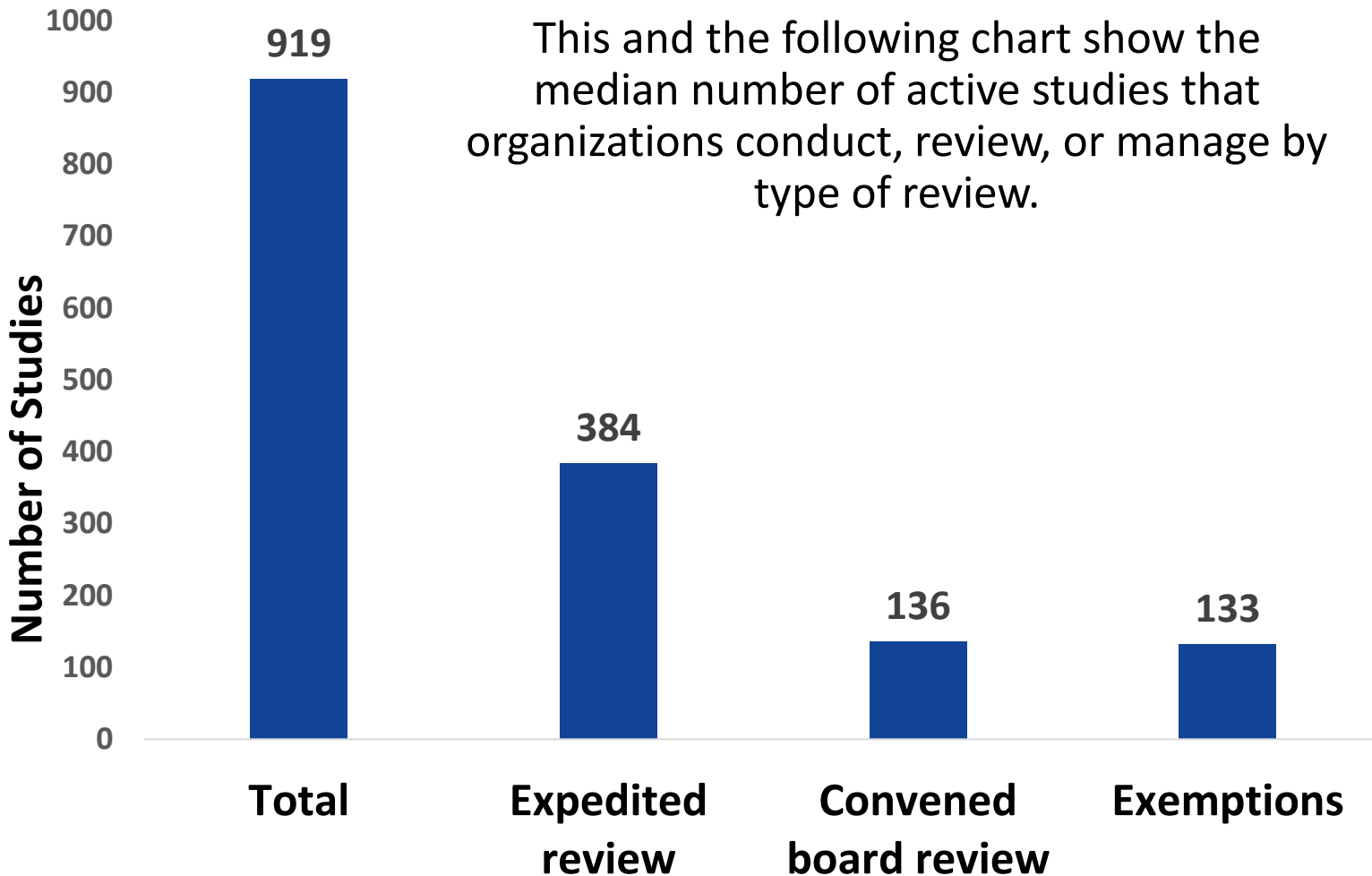
**% of organizations with  
internal IRBs/ECs that  
compensate IRB/EC members**

**94.6%**





# Active Studies Overseen: All Organizations



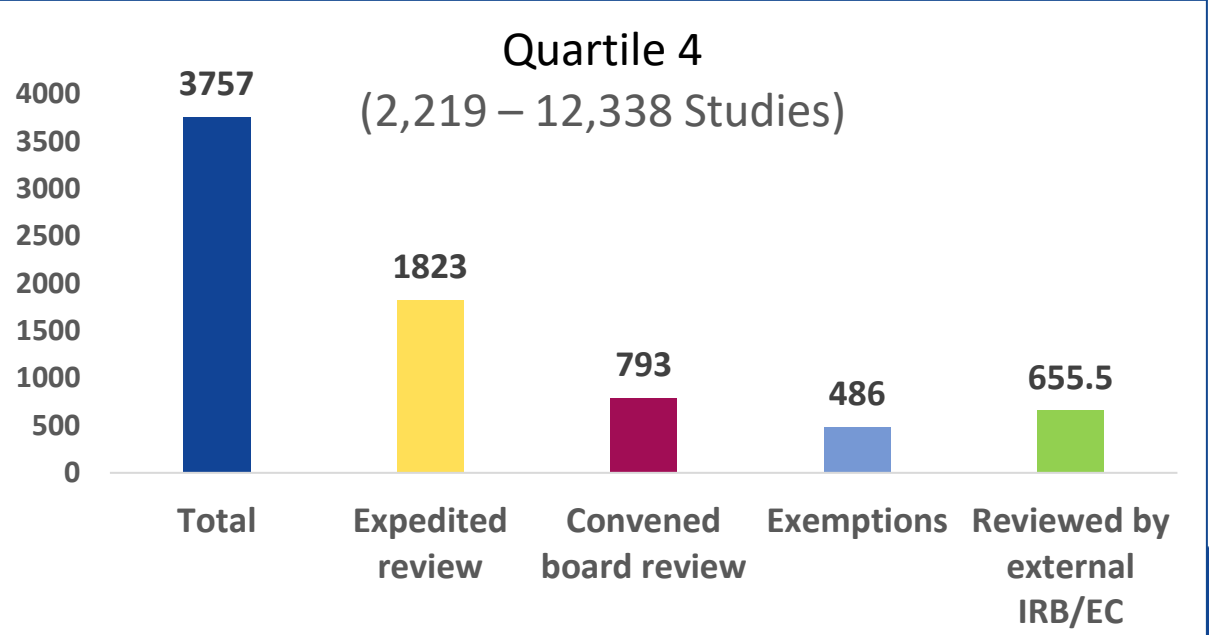
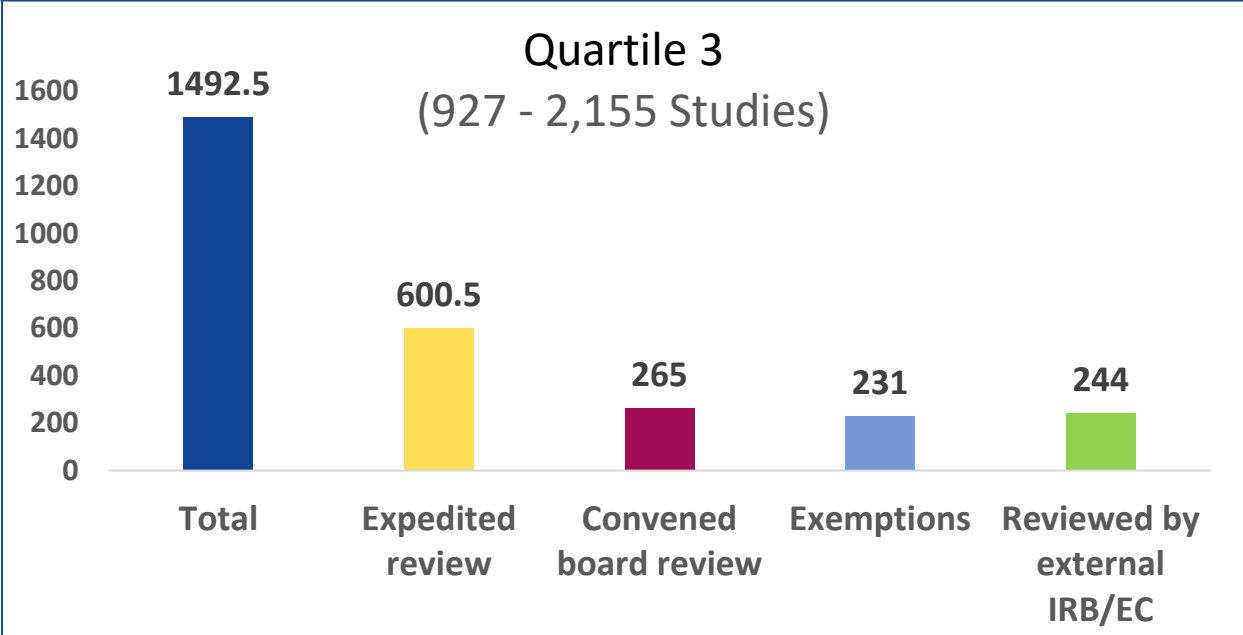
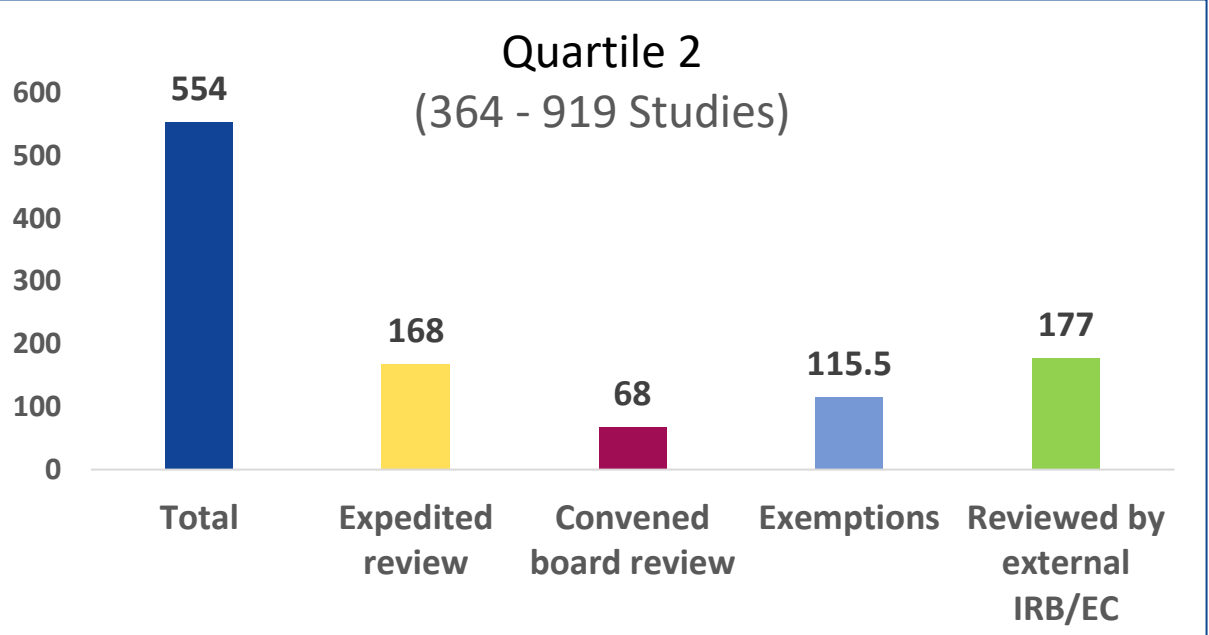
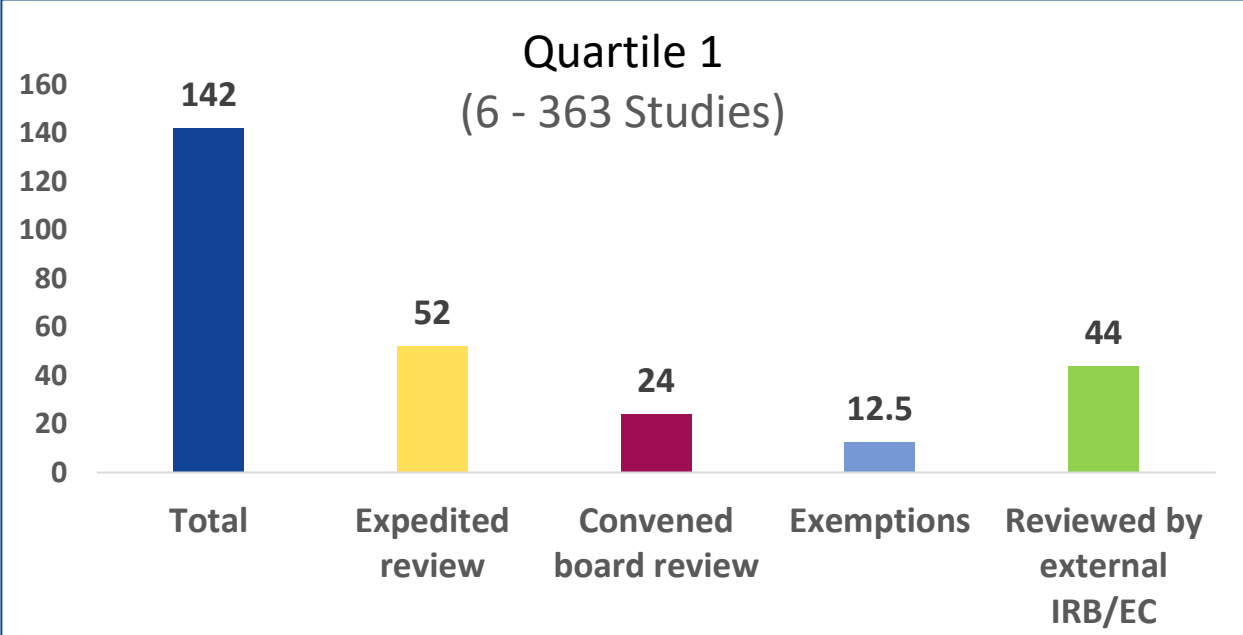
This and the following chart show the median number of active studies that organizations conduct, review, or manage by type of review.

Exemptions are based on the number of determinations made by an organization for a 12-month period (e.g., the most year prior to the AAHRPP report).

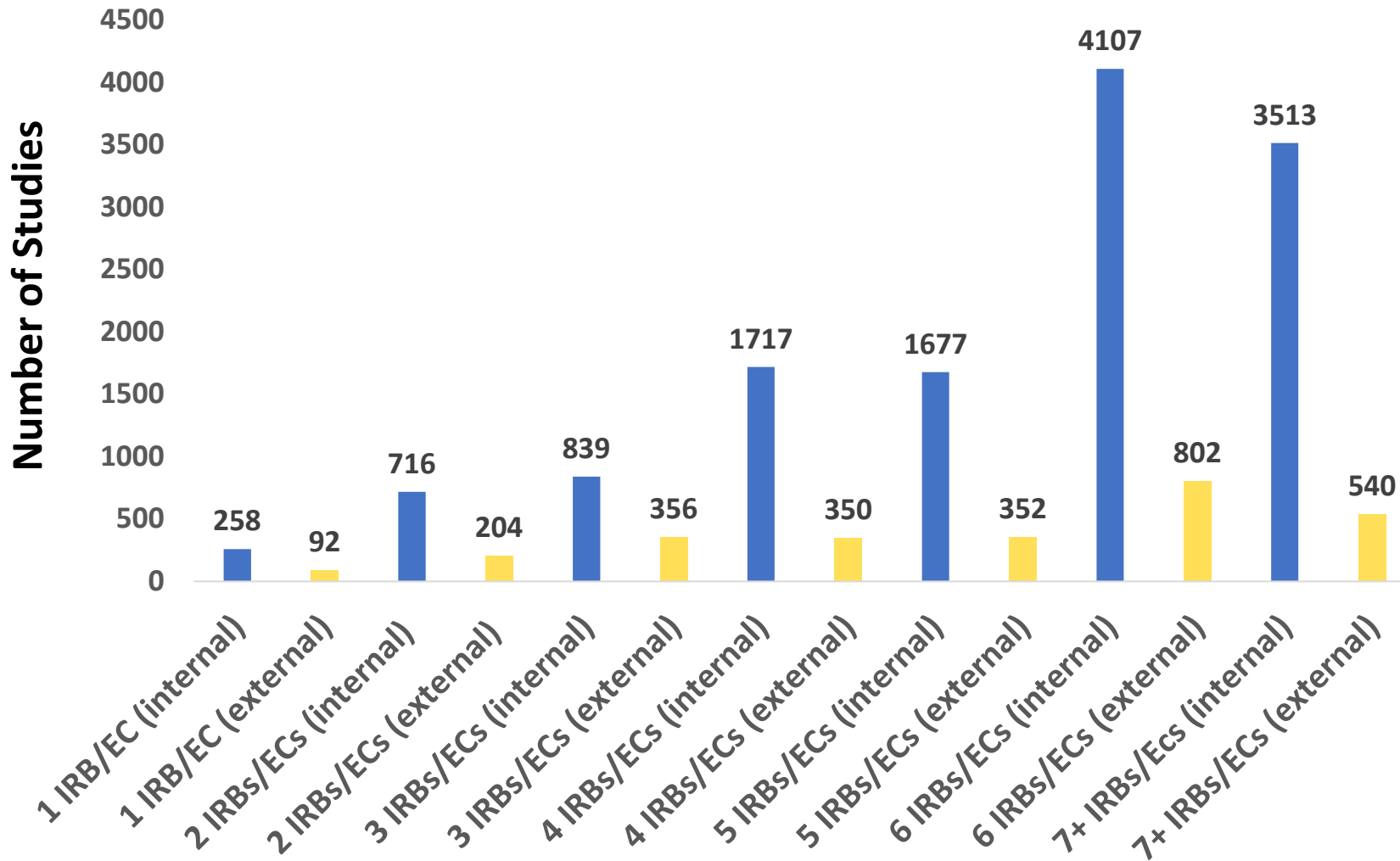
Expedited review and convened board review only include studies reviewed by an internal IRB/EC for organizations that have an internal IRB/EC and are not independent IRBs/ECs.

Total number of studies includes those reviewed by both internal and external IRBs/ECs in the case of organizations with IRBs/ECs.

# Median Number of Active Studies (by Quartile)



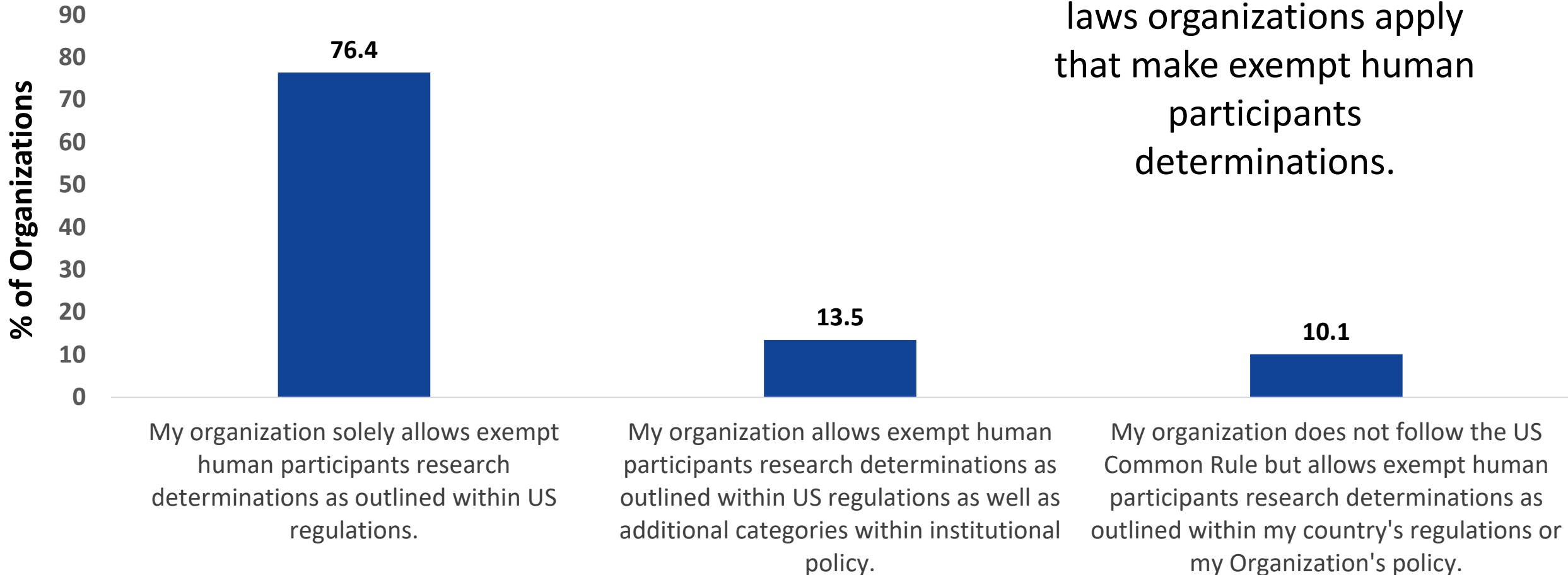
# Active Studies Per IRB/EC



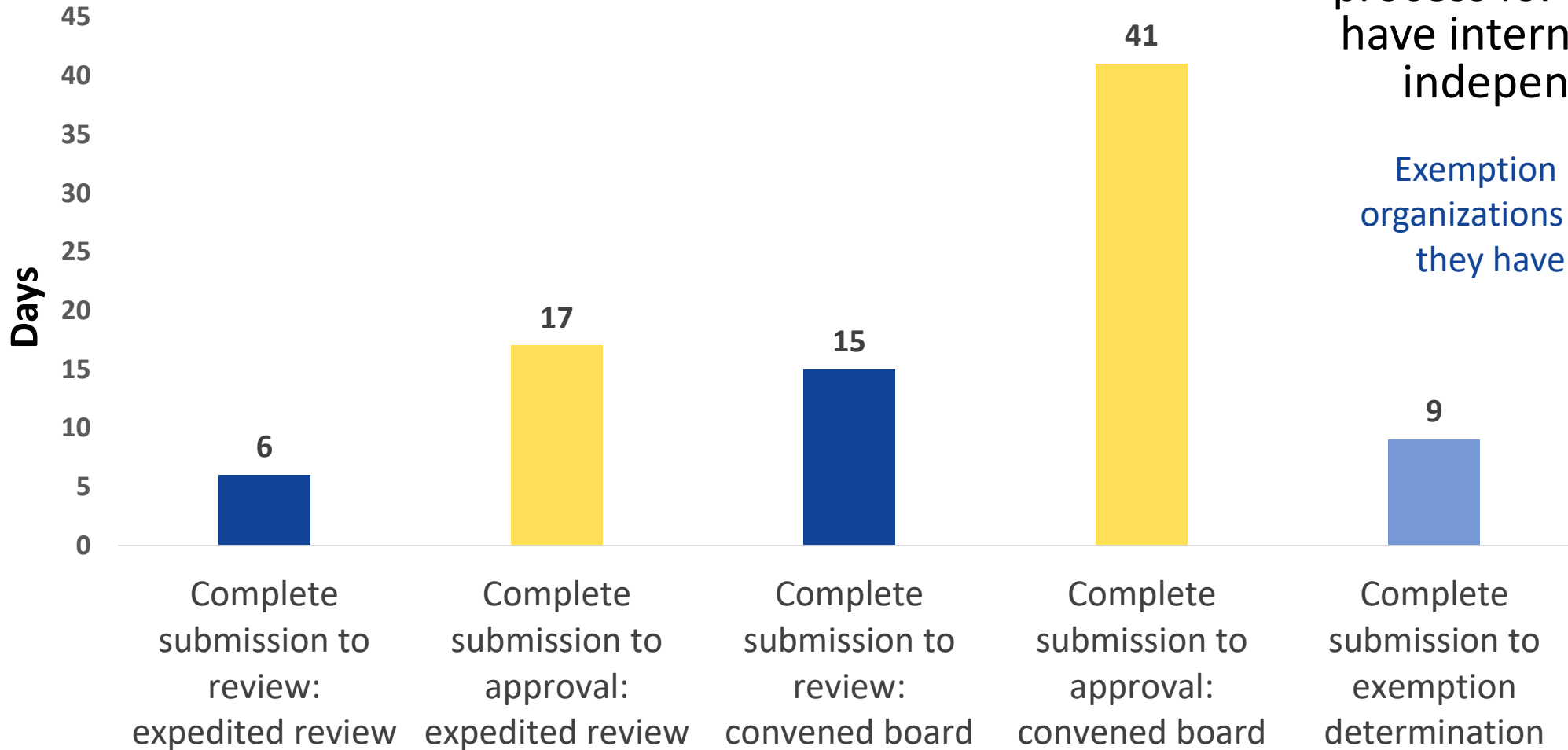
This chart compares the median number of active studies organizations oversee reviewed by an internal vs. external IRB/EC based on the number of internal IRBs/ECs they have. The data do not include independent IRBs/ECs.

Median number of studies reflect exemption determinations that could be made by internal or external IRBs/ECs.

# Policies and Procedures for Exempt Human Participants Research



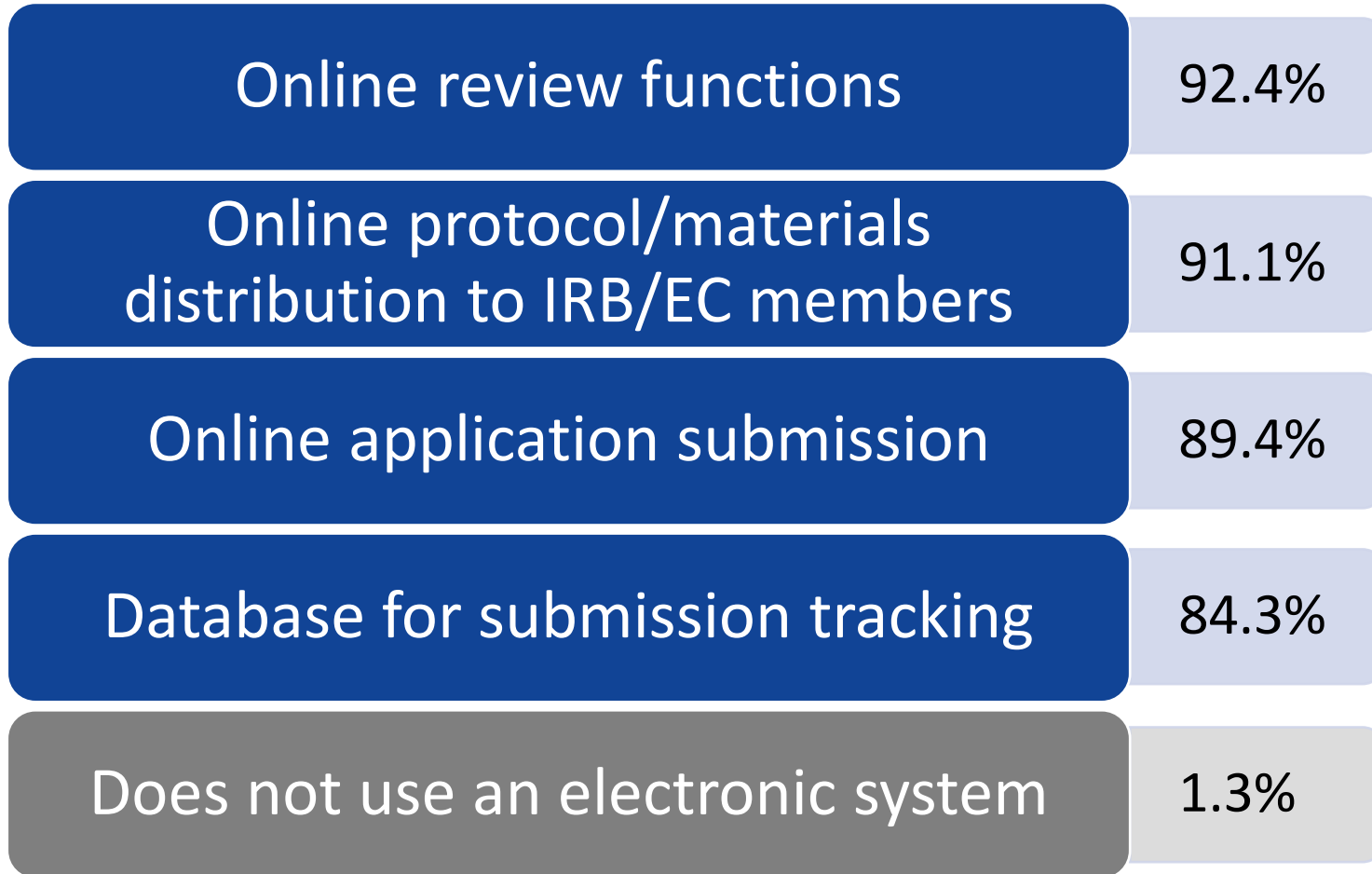
# Review Times



This chart shows the median review times by review process for organizations that have internal IRBs/ECs or are independent IRBs/ECs.

Exemption review times are for organizations regardless of whether they have an internal IRB/EC

# IRB/EC Technology



This table shows the technology organizations that have IRBs/ECs use to support IRB/EC tracking, submission, distribution, and the specific functions used.

# IRB/EC Staffing and Budget

| Number of Active Studies | Median Number of Studies | Median Number of Staff | Median Number of Studies per Staff | Median IRB/EC Budget |
|--------------------------|--------------------------|------------------------|------------------------------------|----------------------|
| All                      | 783                      | 8.5                    | 101.0                              | \$628,500            |
| 0-100                    | 42                       | 2.0                    | 21.3                               | \$210,761            |
| 101-500                  | 256                      | 3.3                    | 66.1                               | \$287,279            |
| 501-1000                 | 730                      | 7.0                    | 97.9                               | \$599,000            |
| 1001-2000                | 1439                     | 11.0                   | 121.5                              | \$1,031,885          |
| 2001-4000                | 2725                     | 18.0                   | 177.9                              | \$1,719,535          |
| 4001+                    | 5712                     | 26.0                   | 220.3                              | \$2,837,898          |

This table breaks down IRB/EC staffing and budgets by the size of the research portfolio (exempt, expedited, and convened reviews) overseen by internal IRBs/ECs.

Data from organizations without internal IRBs/ECs are not included

# Audits of Researchers

Percent of organizations that reported that audits of researchers occurred



**85.4%**

Internal: "for cause"

- Median: 1
- Total: 14,717

Internal: random

- Median: 11
- Total: 13,033

Regulatory agency inspections

- Median: 1
- Total: 842



# Audits of IRBs/ECs

Percent of  
organizations that  
reported that  
audits of IRB/EC  
records occurred:

**69.6%**

Internal: "for  
cause"

- Median: 0
- Total: 658

Internal: random

- Median: 2
- Total: 9,385

Regulatory agency  
inspections

- Median: 0
- Total: 252

# Unresolved Complaints, Noncompliance, & Unanticipated Problems

This table is based on the 12 months prior to an organization's most recent report to AAHRPP.

The total represents all events across organizations for that category.

|           | Number of active studies | Median # & Total reported | Unresolved complaints | Investigations of alleged noncompliance | Serious noncompliance determinations | Continuing noncompliance determinations | Unanticipated problems determinations |
|-----------|--------------------------|---------------------------|-----------------------|---|--------------------------------------|---|---------------------------------------|
| All       | Median                   | 0                         | 6                     | 1                                       | 0                                    | 1                                       |                                       |
|           | Total                    | 347                       | 15,027                | 1,980                                   | 1,473                                | 2,442                                   |                                       |
| 0-100     | Median                   | 0                         | 0                     | 0                                       | 0                                    | 0                                       |                                       |
|           | Total                    | 0                         | 184                   | 23                                      | 27                                   | 90                                      |                                       |
| 101-500   | Median                   | 0                         | 2                     | 0                                       | 0                                    | 0                                       |                                       |
|           | Total                    | 11                        | 1,143                 | 91                                      | 53                                   | 251                                     |                                       |
| 501-1000  | Median                   | 0                         | 8.5                   | 1                                       | 0                                    | 0                                       |                                       |
|           | Total                    | 37                        | 2,993                 | 142                                     | 55                                   | 294                                     |                                       |
| 1001-2000 | Median                   | 0                         | 32                    | 2.5                                     | 0.5                                  | 1                                       |                                       |
|           | Total                    | 82                        | 4,381                 | 468                                     | 481                                  | 403                                     |                                       |
| 2001-4000 | Median                   | 0                         | 16                    | 2                                       | 0                                    | 6                                       |                                       |
|           | Total                    | 21                        | 3,602                 | 620                                     | 280                                  | 1,068                                   |                                       |
| 4001+     | Median                   | 0                         | 78                    | 8                                       | 2                                    | 9                                       |                                       |
|           | Total                    | 196                       | 2724                  | 636                                     | 577                                  | 336                                     |                                       |

# Conflicts of Interest

This graphic shows the median number of studies with a financial management plan reviewed by an IRB/EC for organizations within the last 12 months of their most recent AAHRPP report.

Only includes data from organizations that have internal IRB/ECs or are independent IRBs/ECs

Studies with a financial conflict of interest management plan were reviewed by an IRB/EC (internal or external)



5

\*